# How Swissmedic uses concepts of reliance – experiences

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# Outline



Introduction



The Swissmedic approach to reliance



Work-sharing in the ACSS Consortium



Summary & Conclusion

# Outline



# Introduction





#### Definition of reliance

Reliance: the act whereby the regulatory authority in one jurisdiction may take into account and give significant weight to – i.e., totally or partially rely upon – evaluations performed by another regulatory authority or trusted institution in reaching its own decision. The relying authority remains responsible and accountable for decisions taken, even when it relies on the decisions and information of others.

Definitions are taken from the WHO draft GRP Guideline: <a href="http://www.who.int/medicines/areas/quality\_safety/quality\_assurance/GoodRegulatory\_PracticesPublicConsult.pdf?ua=1">http://www.who.int/medicines/areas/quality\_safety/quality\_assurance/GoodRegulatory\_PracticesPublicConsult.pdf?ua=1</a>





### Definition of work-sharing

Work-sharing: a process by which regulatory authorities of a number of jurisdictions share activities. Work-sharing entails exchange of information consistent with the provisions of existing agreements and compliant with each agency's or institution's legislative framework for sharing such information with other regulatory authorities. Other opportunities for work-sharing include jointly assessing applications for marketing authorizations or therapeutic product manufacturing sites, joint work in the post-marketing surveillance of therapeutic product safety, joint development of technical guidelines or regulatory standards, and collaboration on information technology.



- Pre-requisites for reliance
- Equivalence of requirements based on international standards
- Application of good regulatory and/or good review practices
- Availability of assessment or inspection reports
- Confidence building → trust





- IPRP survey on reliance
- A survey was developed by the World Health Organization (WHO) and conducted among IPRP parties on their experiences, challenges, perceived benefits and opportunities of reliance.
- Respondents: ANVISA, US FDA, Health Canada, HSA, MHLW/PMDA, Swissmedic, TFDA (CT), TGA, EU (EC/EMA), CECMED, COFEPRIS, MEDSAFE, Roszdravnadzor, TITCK
- The IPRP Management Committee discussed the outcome of the survey in June 2019 and agreed to continue discussion at its next meeting in November 2019 with a focus on key considerations for reliance.

http://www.iprp.global/news/outcome-who-survey-reliance

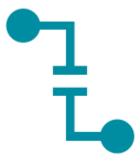




#### IPRP survey on reliance

- 1. Does your agency practice reliance?
- 2. The WHO has developed definitions for reliance and recognition. Should other terms also be defined?
- 3. Please provide examples of reliance undertaken by your agency or by other agencies to your agency. Describe impact and outcomes.
- 4. Which authorities and institutions serve as a reference for reliance for your agency? Why were they chosen?
- 5. What are the key lessons learned to date in the use of regulatory reliance?
- 6. Why do you practice reliance? Has the use of reliance by your agency had the desired outcome?
- 7. What have been the main challenges and areas for improvement?
- 8. What do you see as the greatest future opportunities for reliance?
- 9. Do you have any further suggestions or comments on the subject of reliance?

# Outline





- Swissmedic in the national context
  - Swissmedic: a "small and medium sized agency" (360 FTE; budget: 95 Mio. CHF)
  - Mature system for the regulation of therapeutic products, applying international standards
  - Well-established international network and active involvement in international initiatives
  - Switzerland is a major location for research-based pharmaceutical and medical device industry; exports in pharmaceuticals: 88.3 Bio. CHF (2018, 38% of all Swiss exports)





- Legal basis for reliance
  - Political/strategic approach: Article 13 Therapeutic Products Act
  - "If a medicinal product or procedure is already authorised in a country having equivalent medicinal product control, the results of tests (= assessments) carried out for this purpose shall be taken into account."





- Marketing Authorisation
  - Applications for innovative medicinal products
  - For applications for approval of a drug with a new active substance or its line extensions (e.g. new indications) Swissmedic conducts a comprehensive scientific assessment.







- Marketing Authorisation
  - Applications for non-innovative medicinal products
  - Swissmedic should consider assessments of regulatory authorities with an equivalent control system, such as e.g. the European Medicines Agency (EMA), the US-Food and Drug Administration (US-FDA), etc.







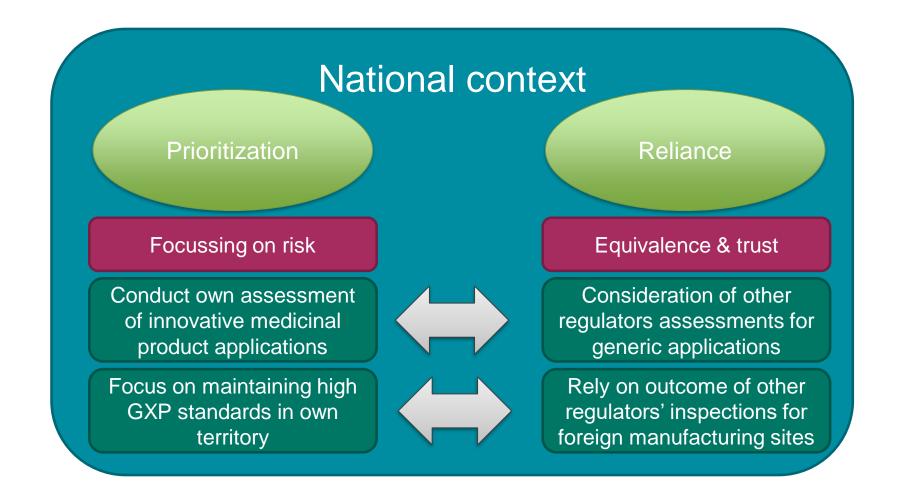
#### GXP Inspections

- Prioritization of inspections of facilities in Switzerland:
  - Ensure a high standard of GXP in the territory.
  - Inspection frequency is based on previous performance of facility and on "complexity" (risk) of manufacturing processes performed at the site. = Risk-based approach
- Reliance on inspections of foreign regulatory authorities (MRA partners, PIC/S members)



## Swissmedic approach







# Outline



Work-sharing in the ACSS Consortium



- The Australia, Canada, Singapore, Switzerland (ACSS) Consortium
  - Members:
    - Therapeutic Goods Administration, Australia
    - Health Canada
    - Health Sciences Authority, Singapore
    - Swissmedic, Switzerland
  - Established in 2007
  - Focused on work-sharing from the beginning

Swissmedic - ACSS Consortium





#### Purpose

- Build synergies and result in improved health and safety benefits as a consequence of enhanced effectiveness and efficiency of domestic regulatory systems and the interface between each.
- Capitalize on each country's area of strength, address gaps in science, knowledge, and expertise and leverage resources to help expedite risk assessment processes while maintaining or raising quality and safety standards.

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#### Objectives

- To provide an **effective and efficient** alternative to participating regulators working independently on similar scientific and regulatory work.
- To enable participating regulators to draw on the very best scientific and technical data, information, expertise and resources from around the world to better inform regulatory decisions including risk assessments, along the product lifecycle.
- To improve each participant's **effectiveness and efficiency** as a regulator by providing a framework for identifying work-sharing opportunities, facilitating action and achieving tangible results.
- To provide participating regulators with a mechanism to share with other regulatory authorities their unique knowledge in specific scientific areas, i.e., compliance and enforcement and post-market surveillance, as well as best practices thereby making a significant contribution to addressing global health and safety issues.
- To create or complement existing communication networks and an increased dialogue and understanding of the basis of scientific advice between regulators and decision makers throughout the lifecycle of products.
- To explore new initiatives and concepts.





#### Ongoing work/projects

- Work-sharing in the assessment of generic medicines
- Work-sharing/reliance in the assessment of biosimilars (exploration/confidence building)
- Work-sharing in the assessment of new chemical entities
- Work-sharing in the assessment of safety and efficacy of complementary health products
- Exchange on current challenges and projects in the area of information technology





#### Achievements

- Confidence and trust built over the years.
- Processes aligned and tools developed to facilitate work-sharing.
- Successful completion of Generic Medicines Work-Sharing Trial (GMWST)
- First approval of a New Chemical Entity (apalutamide; ERLYAND in Australia and ERLEADA in Canada)

https://www.tga.gov.au/media-release/international-work-sharing-pilot-apalutamide-erlyand https://www.canada.ca/en/health-canada/corporate/transparency/regulatory-transparency-and-openness/improving-review-drugs-devices/notice-erleada.html



## Outline



Summary & Conclusion

## Summary & Conclusion



- Reliance and work-sharing approaches at Swissmedic are based on legislative provisions and a strategic approach.
- Reliance and work-sharing require equivalence of requirements, based on international standards - and trust.
- Building of confidence to establish trust takes time as it requires a change in the approach of reviewers or inspectors ("cultural change").
- Reliance and work-sharing allow focusing of resources on prioritized activities (maximization of public health impact).
- "Reliance is not a sign of weakness reliance is smart!"





## List of abbreviations (in alphabetical order)

| ACSS           | Australia-Canada-Singapore-Switzerland Consortium                   |
|----------------|---|
| ANVISA         | Brazilian Health Surveillance Agency                                |
| CECMED         | Regulatory Authority for Medicines and Medical Devices, Cuba        |
| CHF            | Swiss Frank (Swiss currency)  |
| COFEPRIS       | Federal Commission for the Protection against Sanitary Risk, Mexico |
| CT             | Chinese Taipei  |
| EC             | European Commission   |
| EMA            | European Medicines Agency   |
| EU             | European Union  |
| FTE            | Full-time Equivalent  |
| GRP            | Good Regulatory Practices   |
| GXP            | Good Practices in the (includes e.g. GDC, GLP, GMP)                 |
| HSA            | Health Sciences Authority, Singapore                                |
| IPRP           | International Pharmaceutical Regulators Programme                   |
| Medsafe        | New Zealand Medicines and Medical Devices Safety Agency             |
| MHLW           | Ministry of Health, Labour and Welfare, Japan                       |
| MRA            | Mutual Recognition Agreement  |
| PAHO           | Pan-American Health Organisation                                    |
| PANDRH         | Pan-American Network for Regulatory Harmonisation                   |
| PIC/S          | Pharmaceutical Inspections Cooperation Scheme                       |
| Roszdravnadzor | Federal Service on Surveillance in Healthcare, Russia               |

| TFDA   | Taiwan Food and Drug Administration          |
|--------|--|
| TGA    | Therapeutic Goods Administration, Australia  |
| TİTCK  | Turkish Medicines and Medical Devices Agency |
| US-FDA | United States Food and Drug Administration   |
| WHO    | World Health Organisation                    |